



Application No. 09/972,425  
Attorney's Docket No. 033053-025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Kenneth C. Cundy, et al.

Application No.: 09/972,425

Filed: October 5, 2001

For: Bile-Acid Derived Compounds for  
Providing Sustained Systemic  
Concentrations of Drugs After Oral  
Administration

Group Art Unit: 1614

Examiner: Unassigned

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of IDS as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of IDS as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

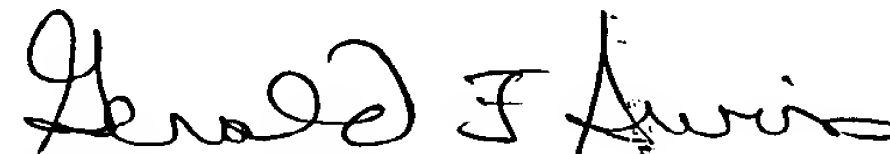
The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

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Group Art Unit: 1614

**Examiner:** Unassigned

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[illegible]

**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**Sir:**

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited below is enclosed:

**U.S. Patents:**

4,508,728	Nagai et al.	4/2/85
4,908,353	Yamamoto et al.	3/13/90
5,094,848	Brixner	3/10/92
5,110,797	Ienaga et al.	5/5/92
6,051,683	Deigin et al.	4/18/2000

**Foreign Patents:**

SU 285929	1/13/71	Soviet Union
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The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

I, the undersigned, hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication received from the US/RO acting as the search authority for the equivalent PCT application (copy of ISR is enclosed herewith). Applicants submit that this constitutes a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To assist the Examiner, the documents are also listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

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